

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 8

## Complete if known

Application Number 09/895,304  
 Filing Date June 29, 2001  
 First Named Inventor Rod Ross  
 Group Art Unit 3724  
 Examiner Name Unknown  
 Attorney Docket Number 155615-0024 (P006X)

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## U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code			
SW	1.	5,916,330		Jacobson	06-29-1999	01-15-1997
	2.	5,941,250		Aramant et al.	08-24-1999	11-21-1996
	3.	377,524		Lipp	01-21-1997	10-05-1995
	4.	5,868,728		Giungo et al.	02-09-1999	02-28-1995
	5.	5,474,532		Steppe	12-12-1995	11-22-1994
	6.	5,527,332		Clement	06-18-1996	11-02-1994
	7.	5,556,397		Long et al.	09-17-1996	10-26-1994
	8.	5,527,356		Peyman et al.	06-18-1996	08-02-1994
	9.	5,611,799		Smith	03-18-1997	06-14-1994
	10.	5,465,633		Bernloehr	11-14-1995	02-07-1994
	11.	5,700,240		Barwick, Jr. et al.	12-23-1997	01-28-1994
	12.	5,476,473		Heckele	12-19-1995	01-05-1994
	13.	5,787,760		Thorlakson	08-04-1998	11-24-1993
	14.	5,380,280		Peterson	01-10-1995	11-12-1993
	15.	5,374,188		Frank et al.	12-20-1994	07-19-1993
	16.	5,520,684		Imran	05-28-1996	06-10-1993
	17.	5,395,368		Ellman et al.	03-07-1995	05-20-1993
	18.	5,364,395		West, Jr.	11-15-1994	05-14-1993
	19.	5,782,849		Miller	07-21-1998	05-07-1993
	20.	5,403,311		Abele et al.	04-04-1995	03-29-1993
	21.	5,403,276		Schechter et al.	04-04-1995	02-16-1993
	22.	5,643,304		Schechter et al.	07-01-1997	02-16-1993
	23.	5,437,678		Sorensen	08-01-1995	11-30-1992
	24.	5,354,268		Peterson et al.	10-11-1994	11-04-1992
	25.	5,330,470		Hagen	07-19-1994	07-02-1992
	26.	5,531,744		Nardella et al.	07-02-1996	11-01-1991
	27.	5,273,524		Fox et al.	12-28-1993	10-09-1991
	28.	5,285,795		Ryan et al.	02-15-1994	09-12-1991
	29.	5,201,749		Sachse et al.	04-13-1993	09-04-1991
	30.	5,217,459		Kamerling	06-08-1993	08-27-1991
	31.	5,271,379		Phan et al.	12-21-1993	07-26-1991
	32.	5,106,364		Hayafuji et al.	04-21-1992	06-29-1990
	33.	5,226,910		Kajiyama et al.	07-13-1993	06-29-1990
	34.	5,322,505		Krause et al.	06-21-1994	02-07-1990
	35.	5,176,628		Charles et al.	01-05-1993	10-27-1989

Examiner: Sarah Webb Date Considered: 7/16/02

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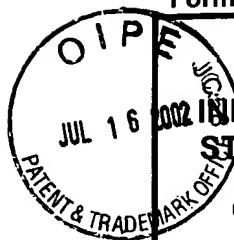
## Complete if known

Application Number 09/895,304  
Filing Date June 29, 2001  
First Named Inventor Rod Ross  
Group Art Unit 3724  
Examiner Name Unknown  
Attorney Docket Number 155615-0024 (P006X)

36.	5,059,204	Lawson et al.	10-22-1991	10-26-1989
37.	5,817,075	Giungo	10-06-1998	08-14-1989
38.	4,965,417	Massie	10-23-1990	03-27-1989
39.	4,838,281	Rogers et al.	06-13-1989	02-28-1989
40.	5,207,683	Goode et al.	05-04-1993	11-09-1988
41.	5,507,751	Goode et al.	04-16-1996	11-09-1988
42.	4,943,289	Goode et al.	07-24-1990	11-09-1988
43.	4,988,347	Goode et al.	01-29-1991	11-09-1988
44.	5,011,482	Goode et al.	04-30-1991	11-09-1988
45.	5,013,310	Goode et al.	05-07-1991	11-09-1988
46.	4,819,635	Shapiro	04-11-1989	09-18-1987
47.	4,837,857	Scheller et al.	06-06-1989	11-06-1986
48.	5,019,076	Yamanashi et al.	05-28-1991	09-12-1986
49.	4,723,545	Nixon et al.	02-09-1988	02-03-1986
50.	4,768,506	Parker et al.	09-06-1988	09-26-1985
51.	4,770,654	Rogers et al.	09-13-1988	09-26-1985
52.	4,840,175	Peyman	06-20-1989	07-29-1985
53.	4,757,814	Wang et al.	07-19-1988	02-28-1985
54.	4,706,687	Rogers	11-17-1987	02-28-1985
55.	4,589,414	Yoshida et al.	05-20-1986	04-20-1984
56.	4,523,911	Braetsch et al.	06-18-1985	01-17-1984
57.	4,524,948	Hall	06-25-1985	09-09-1983
58.	4,522,371	Fox et al.	06-11-1985	06-20-1983
59.	4,540,406	Miles	09-10-1985	05-02-1983
60.	4,530,357	Pawloski et al.	07-23-1985	04-18-1983
61.	4,475,904	Wang	10-09-1984	12-29-1982
62.	4,531,934	Kossovsky et al.	07-30-1985	12-21-1982
63.	4,555,645	Atkinson	11-26-1985	12-01-1982
64.	4,598,729	Naito et al.	07-08-1986	06-15-1982
65.	4,493,695	Cook	01-15-1985	06-01-1982
66.	4,474,411	Peters et al.	10-02-1984	11-04-1981
67.	4,445,517	Feild	05-01-1984	09-28-1981
68.	4,396,386	Kurtz et al.	08-02-1983	05-07-1981
69.	4,354,838	Hoyer et al.	10-19-1982	03-04-1981
70.	4,481,948	Sole	11-13-1984	12-29-1980
71.	4,493,698	Wang et al.	01-15-1985	11-03-1980
72.	4,395,258	Wang et al.	07-26-1983	11-03-1980
73.	4,308,385	Goorden	12-29-1981	05-08-1980
74.	4,428,748	Peyman et al.	01-31-1984	04-09-1980
75.	4,301,802	Poler	11-24-1981	03-17-1980
76.	4,314,560	Helfgott et al.	02-09-1982	11-28-1979

Examiner: James Webb Date Considered: 9/16/02

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Sheet 3 of 8

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First Named Inventor	Rod Ross
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Examiner Name	Unknown
Attorney Docket Number	155615-0024 (P006X)

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SW	77.	4,274,411	Dotson, Jr.	06-23-1981	03-30-1979
	78.	4,245,815	Willis	01-20-1981	02-23-1979
	79.	4,805,616	Pao	02-21-1989	02-05-1979
	80.	4,476,862	Pao	10-16-1984	02-05-1979
	81.	4,674,499	Pao	06-23-1987	02-05-1979
	82.	4,210,146	Banko	07-01-1980	06-01-1978
	83.	4,246,902	Martinez	01-27-1981	03-10-1978
	84.	4,217,993	Jess et al.	08-19-1980	12-02-1977
	85.	4,204,328	Kutner	05-27-1980	11-14-1977
	86.	4,178,707	Littlefield	12-18-1979	07-18-1977
	87.	4,168,707	Douvas et al.	09-25-1979	06-13-1977
	88.	4,108,182	Hartman et al.	08-22-1978	02-16-1977
	89.	4,320,761	Haddad	03-23-1982	01-28-1977
	90.	4,137,920	Bonnet	02-06-1979	01-17-1977
	91.	4,308,835	Abbey	01-05-1982	10-09-1976
	92.	4,223,676	Wuchinich et al.	09-23-1980	04-01-1976
	93.	4,011,869	Seiler, Jr.	03-15-1977	08-01-1975
	94.	3,986,512	Walliser	10-19-1976	12-20-1974
	95.	4,043,342	Morrison, Jr.	08-23-1977	08-28-1974
	96.	3,982,539	Muriot	09-28-1976	08-16-1974
	97.	4,004,590	Muriot	01-25-1977	08-16-1974
	98.	4,135,515	Muriot	01-23-1979	08-16-1974
	99.	3,913,584	Walchle et al.	10-21-1975	06-28-1974
	100.	3,903,881	Weigl	09-09-1975	04-12-1974
	101.	3,899,829	Storm et al.	08-19-1975	02-07-1974
	102.	3,977,425	Hayashida	08-31-1976	12-04-1973
	103.	3,842,839	Malis et al.	10-22-1974	04-05-1973
	104.	3,930,505	Wallach	01-06-1976	08-30-1972
	105.	3,815,604	O'Malley et al.	06-11-1974	06-19-1972
	106.	3,884,238	O'Malley et al.	05-20-1975	06-19-1972
	107.	3,920,014	Banko	11-18-1975	12-15-1971
	108.	3,752,161	Bent	08-14-1973	08-02-1971
	109.	3,763,862	Spieth	10-09-1973	02-08-1971
	110.	3,693,613	Kelman	09-26-1972	12-09-1970
	111.	3,624,821	S. A. Henderson	11-30-1971	09-17-1969
	112.	3,561,429	W. R. Jewett	02-09-1971	05-23-1968
	113.	3,399,677	J. C. Gould et al.	09-03-1968	07-01-1966
	114.	3,252,623	T. H. Corbin et al.	05-24-1966	07-22-1965
V	115.	3,266,494	G. K. A. Brownrigg et al.	08-16-1966	08-26-1963
	116.	3,308,828	C. C. Pippin	03-14-1967	08-08-1963
	117.	6,045,563	Duprat	04-04-2000	06-09-1999

Examiner:

Sarah Webb

Date Considered:

9/16/02

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Form PTO/SB/08A (Modified)

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STATEMENT BY APPLICANT**

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Sheet 4 of 8

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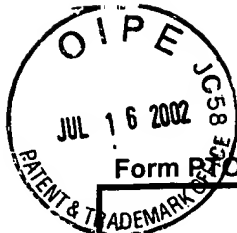
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Attorney Docket Number	155615-0024 (P006X)

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SW	118.	6,059,805	Sugimura et al.	05-09-2000	03-31-1999
	119.	6,086,544	Hibner et al.	07-11-2000	03-31-1999
	120.	6,165,189	Ziemer	12-26-2000	02-10-1999
	121.	6,013,049	Rockley et al.	01-11-2000	12-29-1998
	122.	6,019,754	Kawesch	02-01-2000	10-29-1998
	123.	5,989,272	Barron et al.	11-23-1999	10-05-1998
	124.	6,083,236	Feingold	07-04-2000	08-12-1998
	125.	5,944,731	Hanna	08-31-1999	08-04-1998
	126.	5,957,921	Mirhashemi et al.	09-28-1999	11-07-1996
	127.	5,779,723	Schwind	07-14-1998	10-29-1996
	128.	6,051,009	Hellenkamp et al.	04-18-2000	02-07-1996
	129.	5,693,013	Geuder	12-02-1997	10-23-1995
	130.	5,814,010	Ziegler	09-29-1998	08-08-1995
	131.	5,566,681	Manwaring et al.	10-22-1996	05-02-1995
	132.	5,624,394	Barnitz et al.	04-29-1997	10-28-1994
	133.	5,795,328	Barnitz et al.	08-18-1998	10-28-1994
	134.	5,476,448	Urich	12-19-1995	10-19-1994
	135.	Re. 35,421	Ruiz et al.	01-07-1997	07-27-1994
	136.	5,704,927	Gillette et al.	01-06-1998	06-08-1994
	137.	5,496,339	Koepnick	03-05-1996	05-17-1994
✓	138.	5,810,857	Mackool	09-22-1998	08-12-1993
	139.	5,738,677	Colvard et al.	04-14-1998	04-10-1992
	140.	5,242,404	Conley et al.	09-07-1993	02-12-1992
	141.	5,273,406	Feygin	12-28-1993	09-12-1991
	142.	5,083,558	Thomas et al.	01-28-1992	11-06-1990
	143.	5,383,454	Bucholz	01-24-1995	10-19-1990
	144.	5,133,713	Huang et al.	07-28-1992	03-30-1990
	145.	5,133,726	Ruiz et al.	07-28-1992	02-14-1990
	146.	4,903,695	Warner et al.	02-27-1990	11-30-1988
	147.	4,886,085	Miller	12-12-1989	11-30-1988
✓	148.	4,909,815	Meyer	03-20-1990	10-24-1988
	149.	5,215,104	Steinert	06-01-1993	08-16-1988
	150.	4,997,437	Grieshaber	03-05-1991	07-18-1988
	151.	4,828,306	Blatt	05-09-1989	03-07-1988
	152.	4,825,091	Breyer et al.	04-25-1989	02-01-1988
	153.	4,865,033	Krumeich et al.	09-12-1989	08-07-1987
	154.	4,767,403	Hodge	08-30-1988	02-09-1987
	155.	4,782,849	Hodge	11-08-1988	02-09-1987
	156.	4,830,047	Hodge	05-16-1989	02-09-1987
	157.	4,743,770	Lee	05-10-1988	09-22-1986
✓	158.	4,791,934	Brunnett	12-20-1988	08-07-1986

Examiner: Sarah Webb Date Considered: 7/16/02

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Form PTO/SB/08A (Modified)

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Sheet 5 of 8

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SW	159.	4,807,623	Lieberman	02-28-1989	05-30-1986
	160.	4,782,239	Hirose et al.	11-01-1988	04-01-1986
	161.	4,665,914	Tanne	05-19-1987	12-27-1985
	162.	4,690,099	Gregan et al.	09-01-1987	11-27-1985
	163.	4,805,615	Carol	02-21-1989	07-02-1985
	164.	4,884,570	Krumeich et al.	12-05-1989	03-15-1985
	165.	4,660,556	Swinger et al.	04-28-1987	02-14-1985
	166.	4,647,209	Neukomm et al.	03-03-1987	12-27-1984
	167.	4,662,370	Hoffmann et al.	05-05-1987	11-14-1984
	168.	4,660,970	Ferrano	04-28-1987	10-15-1984
	169.	4,705,395	Hageniers	11-10-1987	10-03-1984
	170.	4,678,459	Onik et al.	07-07-1987	07-23-1984
	171.	4,701,049	Beckmann et al.	10-20-1987	06-19-1984
	172.	4,560,395	Davis	12-24-1985	04-17-1984
	173.	4,479,717	Cornillault	10-30-1984	06-21-1982
	174.	4,493,695	Cook	01-15-1985	06-01-1982
	175.	Re. 33,250	Cook	07-03-1990	06-01-1982
	176.	4,427,427	DeVecchi	01-24-1984	01-19-1982
	177.	4,429,696	Hanna	02-07-1984	07-21-1981
	178.	4,688,570	Kramer et al.	08-25-1987	03-09-1981
	179.	4,674,503	Peyman et al.	06-23-1987	03-05-1981
	180.	4,344,784	Deckas et al.	08-17-1982	02-27-1981
	181.	4,493,698	Wang et al.	01-15-1985	11-03-1980
	182.	4,319,899	Marsh	03-16-1982	04-28-1980
	183.	4,304,262	Icking	12-08-1981	03-24-1980
	184.	4,205,682	Crock et al.	06-03-1980	09-19-1977
	185.	4,173,980	Curtin	11-13-1979	02-25-1977
	186.	4,034,712	Duncan	07-12-1977	11-24-1975
	187.	3,983,474	Kuipers	09-28-1976	02-21-1975
	188.	3,812,855	Banko	05-28-1974	12-15-1971
	189.	3,841,799	Spinosa et al.	10-15-1974	08-23-1971
	190.	3,723,030	Gelfand	03-27-1973	03-03-1971
	191.	3,693,613	Kelman	09-26-1972	12-09-1970
	192.	3,882,872	Douvas et al.	05-13-1975	01-05-1970
	193.	3,511,162	A. Truhan	05-12-1970	02-20-1969
	194.	3,589,363	Banko	06-29-1971	07-25-1967
	195.	3,583,403	Fritz Georg Pohl	06-08-1971	06-27-1967
	196.	2,480,737	G. Jean-Edward Jayle	08-30-1949	03-08-1948
	197.	3,033,196	W. W. Hay	05-08-1962	09-16-1957
	198.	2,824,455	E. W. Ristow et al.	02-25-1958	06-27-1952
✓	199.	2,708,437	S. P. R. Hutchins	05-17-1955	03-31-1952

Examiner:

Sarah Webb

Date Considered:

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Group Art Unit	3724
Examiner Name	Unknown
Attorney Docket Number	155615-0024 (P006X)

SW	200.	23,496		H. Seeler	05-20-1952	02-26-1951
SW	201.	2,070,281		V. Leggiadro	02-09-1937	07-12-1935
SW	202.	1,847,658		E. Lasker	03-01-1932	01-26-1925
SW	203.	1,841,968		N. H. Lowry	01-19-1932	08-16-1924

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Office	Number	Kind Code			
SW	204.		EP 1033 120 A2		Nidek Co., Ltd.	06-09-2000	01-03-2000
SW	205.		DT 25 47 185		Hennig	04-28-1977	04-28-1977
SW	206.		FR 2 549 727		Antonini et al.	07-08-1963	07-08-1963

## OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
SW	207.	Steinway Instrument Company Inc., The Steinway/Barraquer in-Situ Microkeratome Set.
	208.	Brochure, Site TXR Systems, Site Mycrosurgical Systems, Inc., Horsham, Pennsylvania.
	209.	Marshall M. Parks, "Intracapsular Aspiration" article, pp. 59-74.
	210.	Van Oldenborgh, "Correction of late operative complications by means of a suction cutter", Ophthal. Soc. U.K. (1980), 100, 219, PP. 219-221.
	211.	Helfgott, M.D. "A System for Variable Aspiration of Material Dissected from the Posterior Chamber", Ophthalmic Surgery, Vol. 15, June 1984, pp. 529-350.

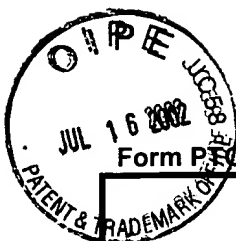
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Sheet	7	of	8	Attorney Docket Number	155615-0024 (P006X)

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SW	212.	Coopervision Brochure on Cavitron/Kelman Model 6500 E.I.S. and Model 7500, 6 pages.
↓	213.	Surgical Design Brochure on "The Ocusystem", 1 page.
	214.	Coopervision Brochure on "Cavitron/Kelman Phaco-Emulsifier Aspirator Model 8001", 2 pages.
	215.	Coopervision Brochure on Cavitron/Kelman Phaco-Emulsifier Aspirator Model 9001, 6 pages.
	216.	Greishaber of Switzerland Brochure on "MPC, The Membrane Peeler Cutter", 5 pages.
	217.	Micro-Vit Vitrectomy System Product Brochure and Instruction Manual.
	218.	Storz Irrigation Aspiration System Product Brochure and Instruction Manual.
	219.	United Surgical Corporation Brochure on "Phacotron Plus", one page.
	220.	Surgical Design Company Brochure on Keates "Ultrasonic I/E Mini Probe by A. Banko, 2 pages.
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Examiner:

Sarah Welf

Date Considered:

9/16/02

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if known</b>	
				Application Number	09/895,304
				Filing Date	June 29, 2001
				First Named Inventor	Rod Ross
				Group Art Unit	3724
				Examiner Name	Unknown
Sheet	8	of	8	Attorney Docket Number	155615-0024 (P006X)

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✓	230.	Mrava, Cardiac Engineering, Vol. 3, pp. 31-68.

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Examiner:

*Janet Hebb*

Date Considered:

*9/16/02*

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